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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/747,366

12/22/2000

Stephen Charles Appling

1555-0020

2463

29052

7590

04/08/2008

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EXAMINER

BONSHOCK, DENNIS G

ART UNIT

PAPER NUMBER

2173

MAIL DATE

DELIVERY MODE

04/08/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 09/747,366	Applicant(s) APPLING, STEPHEN CHARLES	
	Examiner DENNIS G. BONSHOCK	Art Unit 2173	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 16 January 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-5, 7-11, 13 and 16-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5, 7-11, 13, and 16-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Non-Final Rejection

Response to Amendment

1. It is hereby acknowledged that the following papers have been received and placed on record in the file: Amendment as received on 1-16-2008.
2. Claims 1-21 have been examined.

Status of Claims:

3. Claims 1-5, 7-11, 13, and 16-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Heidingsfeld et al., patent #6,823,359, hereinafter Heidingsfeld and Meyer, patent # 6,157,943.
4. Claims 6, 12, and 14, have been canceled by the applicant.
5. Claim 15, has been withdrawn by the applicant.

37 C.F.R. § 1.131

The declaration filed on 5-3-2007 under 37 CFR 1.131 has been considered but is ineffective to overcome the Heidingsfeld et al. reference.

The evidence submitted is insufficient to establish a reduction to practice of the invention in this country or a NAFTA or WTO member country prior to the effective date of the Heidingsfeld et al. reference. Specifically, the 37 CFR 1.131 affidavit or declaration must establish possession of either the whole invention claimed or something falling within the claim (such as a species of a claimed genus), in the sense that the claim as a whole reads on it. In re Tanczyn, 347 F.2d 830, 146 USPQ 298 (CCPA 1965).

The documents cited "Greenhouse UI Architecture", of Exhibit 1, does not help prove reduction to practice as it states that all of the components "Need to be developed", and further only provides a broad view of the specifics that are claimed.

Of particular concern is the Applicants stating that "an embodiment of the invention claimed in the '366 application was included in the demonstration of WebCTRL at the AHR Expo" on February 7 and 8 of 2000, but then goes on to state that neither "the functions for dynamically updating objects contained within a web page" nor "the function or components of the WebCTRL product... that represent an embodiment of the invention claimed in the '366 application" were publicly disclosed at the Expo. This makes it unclear what exactly was disclosed at the Expo. Exhibits 2 and 3 are only advertisements for the product not showing the specifics of the claim, but only providing a broad view of the specifics that are claimed.

The affidavit or declaration and exhibits must clearly explain which facts or data applicant is relying on to show completion of his or her invention prior to the particular date. Vague and general statements in broad terms about what the exhibits describe along with a general assertion that the exhibits describe a reduction to practice "amounts essentially to mere pleading, unsupported by

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proof or a showing of facts” and, thus, does not satisfy the requirements of 37 CFR 1.131(b). In re Borkowski, 505 F.2d 713, 184 USPQ 29 (CCPA 1974).

For at least these reasons the 37 C.F.R. § 1.131 declaration is insufficient to overcome Heidingsfeld et al.

Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1-5, 7-11, 13, and 16-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Heidingsfeld et al., patent #6,823,359, hereinafter Heidingsfeld and Meyer, patent # 6,157,943.

8. With regard to claim 1, Heidingsfeld teaches a method for updating data within a web page using a frame that is invisible to the user (see column 3, lines 18-21 and column 5, lines 50-59), further providing, outside of the frame, data in a web page that is capable of being updated without refreshing the entire web page (see column 5, lines 50-59 and column 4, lines 14-20), a frame (IO frame, which is invisible) that periodically requests updated data (instructions that guide the update) from a server (see column 3, lines 51-64 and column 5, line 50 through column 6, line 6), and causing the display

frame to be updated in accordance with the data received without refreshing the entire webpage (see column 5, lines 50-59, column 1, lines 40-41, and column 4, lines 14-20). Heidingsfeld, however, doesn't teach the at least one updatable object corresponding to an HVAC system. Meyer teaches a system for monitoring data displayed on a web page similar to that of Heidingsfeld (see column 4, lines 19-39), but further teaches the updateable objects corresponding to an HVAC system (see column 4, lines 19-39 and column 3, lines 36-56). It would have been obvious to one of ordinary skill in the art, having the teachings of Heidingsfeld and Meyer before him at the time the invention was made to modify web page monitoring system of Heidingsfeld to include HVAC information, as did Meyer. One would have been motivated to make such a combination because effective remote monitoring of HVAC systems can increase efficiency.

9. With regard to claim 2, which teaches the at least one updateable object being an HTML element, Heidingsfeld teaches, in column 3, lines 30-33, the renderings of the data being via HTML.

10. With regard to claims 3 and 17, which teach configuring the frame to request updated data from the server in response to a time reaching a threshold value, Heidingsfeld teaches, in column 3, lines 51-64, requesting updated data when a predetermined amount of time has passed.

11. With regard to claims 4, 10, and 18 which teach the instructions set comprising a Script that is executable by the frame without user interaction, Heidingsfeld teaches, in column 3, line 61 through column 4, line 19, initiating a

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request for updated data on a periodic basis (no user interaction involved), where the data can be JavaScript.

12. With regard to claims 5, 11, and 19 which teach causing the at least one updateable object to be updated comprising interacting with an external Script running within the web page external to the frame in order to cause the external Script to modify the updateable object without refreshing the web page, Heidingsfeld teaches, in column 4, lines 14-20 and column 5, line 50 through column 6, line 16, using instructions from a server transmitted to a frame external to the display, to modify the display, where the data can be JavaScript.

13. With regard to claims 7 and 20, which teach the updated data generated by a Java servlet executed by the server, Heidingsfeld teaches, in column 3, lines 7-10 and 27-33 and in column 4, lines 41-45, the transmission of updated JavaScript data between the client and the sever.

14. With regard to claim 8, Heidingsfeld teaches a method for updating data within a web page using a frame that is invisible to the user (see column 3, lines 18-21 and column 5, lines 50-59), further providing, outside of the frame, data in a web page that is capable of being updated without refreshing the entire web page (see column 5, lines 50-59 and column 4, lines 14-20), a frame (IO frame, which is invisible) that periodically requests updated data (instructions that guide the update) from a server (see column 3, lines 51-64 and column 5, line 50 through column 6, line 6), and causing the display frame to be updated in accordance with the data received without refreshing the entire webpage (see column 5, lines 50-59, column 1, lines 40-41, and column 4, lines 14-20).

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Heidingsfeld, however, doesn't teach the updated data being related to sensor information in an HVAC system. Meyer teaches a system for monitoring data displayed on a web page similar to that of Heidingsfeld (see column 4, lines 19-39), but further teaches the updateable objects corresponding to an HVAC system (see column 4, lines 19-39 and column 3, lines 36-56), and the state of the data coming from sensors on the respective objects (see column 3, lines 22-24). It would have been obvious to one of ordinary skill in the art, having the teachings of Heidingsfeld and Meyer before him at the time the invention was made to modify web page monitoring system of Heidingsfeld to include HVAC information, as did Meyer. One would have been motivated to make such a combination because effective remote monitoring of HVAC systems can increase efficiency.

15. With regard to claim 9, which teaches the invisible frame comprises an HTML element with a height attribute and a width attribute each set to a value of zero, Heidingsfeld teaches, in column 3, line 18-21 and 31-33, a web browser rendering HTML data in a display frame, the HTML data being sent from and invisible frame (a frame having height and width of zero).

16. With regard to claim 13, which teaches the condition being selected from the group consisting of time, temperature, airflow, and damper position, Heidingsfeld teaches, in column 3, lines 51-64, requesting updated data when a predetermined amount of time has passed, but doesn't teach temperature, airflow, and damper position. Meryer teaches, in column 3, lines 36-56, the

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HVAC system receiving input from airflow sensors, a thermostat, and a variable damper.

17. With regard to claim 16, Heidingsfeld teaches a method for updating data within a web page using a frame that is invisible to the user (see column 3, lines 18-21 and column 5, lines 50-59), further providing, outside of the frame, data in a web page that is capable of being updated without refreshing the entire web page (see column 5, lines 50-59 and column 4, lines 14-20), a display device and corresponding processing unit (see figure 1), a frame (IO frame, which is invisible) that periodically requests updated data (instructions that guide the update) from a server (see column 3, lines 51-64 and column 5, line 50 through column 6, line 6), the data is processed and causes the display frame to be updated in accordance with the data received without refreshing the entire webpage (see column 5, lines 50-59, column 1, lines 40-41, and column 4, lines 14-20). Heidingsfeld, however, doesn't teach the at least one updatable object corresponding to an HVAC system. Meyer teaches a system for monitoring data displayed on a web page similar to that of Heidingsfeld (see column 4, lines 19-39), but further teaches the updateable objects corresponding to an HVAC system (see column 4, lines 19-39 and column 3, lines 36-56). It would have been obvious to one of ordinary skill in the art, having the teachings of Heidingsfeld and Meyer before him at the time the invention was made to modify web page monitoring system of Heidingsfeld to include HVAC information, as did Meyer. One

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would have been motivated to make such a combination because effective remote monitoring of HVAC systems can increase efficiency.

18. With regard to claim 21, which teaches the frame being an inline frame, Heidingsfeld teaches, in column 5, lines 50-51 and in column 3, lines 31-33, the webpage containing an invisible frame, where the HTML protocol is used (this is similar to the inline frame as defined on pages 2 and 3 of the specification).

Response to Arguments

19. The arguments filed on 1-16-2008 have been fully considered but they are not persuasive. Reasons set forth below.

20. The Declaration was insufficient to overcome the Heidingsfeld reference.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DENNIS G. BONSHOCK whose telephone number is (571)272-4047. The examiner can normally be reached on Monday - Friday, 6:30 a.m. - 4:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dennis Chow can be reached on (571) 272-7767. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Dennis G. Bonshock/
Examiner, Art Unit 2173
4-4-08
dgb